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Declaration

Submitted

with Initial

Filing

DESIGN PATENT APPLICATION

(37 CFR 1.63)

OR

⊠ Declaration

required)

Filing (surcharge

(37 ČFR 1.16 (e))

PTO/SB/01 (10-00) Approved for use through 10/31/2002. OMB 0651-0032

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Attorney Docket Number BIO1229-009A DECLARATION FOR UTILITY OR Malmberg et al. **First Named Inventor** COMPLETE IF KNOWN 865 041 I T Application Number January 7, 2002 Filing Date Submitted after Initial **Group Art Unit Examiner Name**

As a below named inventor, I hereby declare that: My residence, post office address, and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled PROCESS FOR THE ISOLATION OF POLYHYDROXY CYCLIC CARBOXYLIC **ACIDS** (Title of the Invention) the specification of which is attached hereto OR X was filed on (MM/DD/YYYY) 01/07/2002 as United States Application Number or PCT International Application Number | /δ/ σ4/865 and was amended on (MM/DD/YYYY) (if applicable). I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application. I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed **Priority** Certified Copy Attached? Foreign Filing Date Prior Foreign Application Country (MM/DD/YYYY) **Not Claimed** Number(s) YES NO Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto: I hereby claim the benefit under 35 U.S.C. 1 19(e) of any United States provisional application(s) listed below. Application Number(s) Filing Date (MM/DD/YYYY) Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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DECLARATION — Utility or Design Patent Application

Direct all correspondence to:	Customer Nur or Bar Code L	nber abel			OR	Correspondence address below
Name 08698 PATENT _TRADEMARK OFFICE						
Address						
Address				,		
City	 			State		ZIP
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1 001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.						
NAME OF SOLE OR FIRST INVENTOR			A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any]) Mats				Family Name or Surname Malmberg		
Inventor's					Date 27/3 2002	
Residence: City		\mathcal{C}	state Lui	nd	Country Sweden	Citizenship Sweden
Mailing Address Skarpskyttevagen 8A						
Mailing Address						
City	State Lund			ZIP 226 42		Country Sweden
NAME OF SECOND INVENTOR: A petition has been filed for this unsigned inventor						ed for this unsigned inventor
Given Name (first and middle [if any]) Brita				Family Name or Surname Westrup		
Inventor's Signature Brita Westing Date March 27 2002						
Residence: City	0		State Lur	ıd	Country Swede	n Citizenship Sweden
Mailing Address Liggaregranden 4						
Mailing Address						
City	State Lund			ZIP 220	0 09	Country Sweden
Additional inventors are being named on thesupplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.						

POWER OF ATTORNEY OR

AUTHORIZATION OF AGENT

PTO/SB/81 (10-00)

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Application Number 10/041,865 Filing Date 01/07/2002 First Named Inventor Malmberg Group Art Unit Examiner Name Attorney Docket Number Bio 1229-009 A

l hereby appoint:	the state of the s				
Practitioners at Customer Number OR Practitioner(s) named below:					
Name	Registration P NEW TO BE MARK OFFICE				
as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.					
Please change the correspondence address for the above-identified application to: The above-mentioned Customer Number. OR					
Firm or Individual Name					
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	1 4 4				
l am the: Applicant/Inventor.					
Assignee of record of the entire interest. See 37 CFR 3.71, Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).					
SIGNATURE of Applicant or Assignee of Record					
Name Biogaja-Feymentation AB					
Signature / Bo Mocisian, Tect. Dir.					
Date 3/26/2002					
Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.*					
*Total of 1 forms are submitted.					

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PTO/SB/96 (08-00)

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STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Biogaia Fermentation AB					
Application No. / Patent No.: 10/041,865	Filed/Issue Date: <u>01/07/2002</u>				
Entitled: PROCESS FOR THE ISOLATION OF POL	LYHYDROXY CYCLIC CARBOXYLIC ACIDS				
Biogaia Fermentation AB	, a a corporation				
(Name of Assignee)	(Type of Assignee, e.g. corporation, partnership, university, government agency, etc.)				
states that it is:					
1. X the assignee of the entire right, title, and inte	erest; or				
2. an assignee of less than the entire right, the extent (by percentage) of its ownersh	title and interest. hip interest is %.				
in the patent application/patent identified above by v	irtue of either:				
	nt application/patent identified above. The assignment was recorded in the Frame, or for which a copy thereof is attached.				
OR					
B. A chain of title from the inventor(s), of the patent	application/patent identified above, to the current assignee as shown below:				
1. From:	To:nt and Trademark Office at				
Reel, Frame, or f	or which a copy thereof is attached.				
2. From: The document was recorded in the Pater	To:				
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The document was recorded in the Pater Reel, or f	nt and Trademark Office at for which a copy thereof is attached.				
Additional documents in the chain of title are listed on a supplemental sheet.					
	ent document or a true copy of the original document) rdance with 37 CFR Part 3, if the assignment is to be				
*) is authorized to act on behalf of the assignee. Bo Mocustan				
Date	Typed or printed name				
48 (34) C					
	Signature				
	Tectt. Din				
	Title				

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